

Nuveen's 2021 PRI Assessment Report

Winslow Capital's parent company, Nuveen, consolidated the organization to a Nuveen-wide United Nations Principles for Responsible Investing ("UN PRI") signatory status, filed as of December 18, 2018, which includes all affiliates. PRI signatories commit to implement the six Principles of Responsible Investment and report on their activities and progress in implementing them.

As part of the paid membership services, the PRI evaluates signatories' approaches to the Principles based on its assessment methodology. Once the responses are assessed, all indicator scores are aggregated and modules are assigned a numerical score. Scores from the 2021 reporting cycle cannot be compared to those from previous years. Prior to 2021, module scores used an alphabetical grading (A+ to E) system.

PRI issued Nuveen's 2021 Assessment Report in September 2022, and it reflects the 2020 reporting period. Please refer to Nuveen's full Transparency report and Assessment Report for additional information.

2021 Nuveen Assessment Report [Link](#)
2021 Nuveen Transparency Report [Link](#)

The table below summarizes Nuveen's 2021 PRI Assessment scores. The highest score is five stars and awarded to signatories who demonstrate leading practices within the responsible investment industry.

Reported Areas 2021	Star Score	Median Star Score	Score (Max 100%)	Median Score
Investment & Stewardship Policy	4	3	86%	60%
Direct - Listed Equity - Active quantitative - incorporation	4	4	83%	65%
Direct - Listed Equity - Active fundamental - incorporation	5	4	93%	71%
Direct - Listed Equity - Passive - incorporation	4	2	74%	35%
Direct - Listed equity - Active quantitative - voting	4	3	82%	61%
Direct - Listed equity - Active fundamental - voting	4	3	82%	54%
Direct - Listed equity - Passive - voting	4	3	82%	57%
Direct - Fixed income - SSA	4	3	80%	50%
Direct - Fixed income - Corporate	4	3	79%	62%
Direct - Fixed income - Securitized	4	3	75%	55%
Direct - Fixed income - Private Debt	5	4	92%	67%
Direct - Real estate	4	4	78%	69%
Direct - Hedge funds - Long/short credit	1	2	0%	29%